

JCO3 Rec'd PTO 06 OCT 2005

Applicant(s): Toshi SHIKU

Title: METHOD AND COMPOSITION FOR REGULATING ACTIVITY OF  
REGULATORY T CELLS

PCT International Application No.: PCT/JP2003/011080

PCT International Filing Date: August 29, 2003

U.S. Application No.

(if known, see 37 CFR 1.5): 10/525 145

Confirmation No.: 5607

Atty. Docket No.: Furuya 1417

Mail Stop PCT

Commissioner for Patents

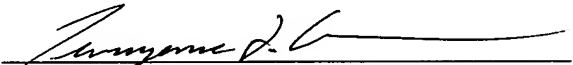
P.O. Box 1450

Alexandria, VA 22313-1450

## FIRST CLASS MAILING CERTIFICATE

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR 1.8 as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 4, 2005.

  
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Steven R. Thiel	Reg. No. 53 685
Donald J. Wallace	Reg. No. 43 977
Kevin L. Pontius	Reg. No. 37 512
Sidney B. Williams, Jr.	Reg. No. 24 949

Correspondence: Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)  
Concerning A Filing Under 35 USC 371  
including enclosures listed thereon

390.05/05

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

EXPRESS MAIL LABEL NO.: None

October 3, 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: Furuya 1417

U.S. APPLICATION NO. (If known, see 37 CFR 1.5): 10/525 145

CONFIRMATION NO.: 5607

INTERNATIONAL APPLICATION NO.: PCT/JP2003/011080

INTERNATIONAL FILING DATE: August 29, 2003

PRIORITY DATE CLAIMED: August 30, 2002

TITLE OF INVENTION: METHOD AND COMPOSITION FOR REGULATING ACTIVITY OF  
REGULATORY T CELLS

APPLICANT(S) FOR DO/EO/US: Hiroshi SHIKU

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13~~ter~~.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
- a) Copy of Form PCT/DO/EO/905
  - b) Postal Card

The following fees have been submitted:			Calculations	PTO USE ONLY
21. <input type="checkbox"/> Basic National Fee (37 CFR 1.492(a)) .....\$300.00			\$	
22. <input type="checkbox"/> Examination Fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00 All other situations .....\$200.00			\$	
23. <input type="checkbox"/> Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority .....\$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB .....\$400.00 All other situations .....\$500.00			\$	
<b>TOTAL OF 21, 22 AND 23 =</b>			\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up)	RATE	

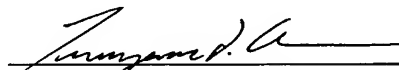
U.S. APPLICATION NO. (if known, see 37 CFR 1.5): 10/525 145  
INTERNATIONAL APPLICATION NO.: PCT/JP2003/011080  
ATTORNEY'S CHECK NUMBER: Furuya Case 1417

		to a whole number)			
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$ 50.00	\$	
Ind. claims	- 3 =		x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
[ ] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. <b>SUBTOTAL =</b>				\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$130.00
<b>TOTAL NATIONAL FEE</b>				=	\$130.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED</b>				=	\$130.00
				Amount to be refunded:	\$N/A
				Amount to be charged:	\$N/A

- a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
- NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

IN DUPLICATE

PLEASE DIRECT CORRESPONDENCE TO  
THE ADDRESS ASSOCIATED WITH THE  
FOLLOWING CUSTOMER NUMBER: 23474

  
Terryence F. Chapman  
Registration Number: 32 549  
TFC/ad

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300.07/05

10/11/2005 MKAYPAGH 00000082 10525145

01 FC:1617

130.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/525,145	Hiroshi Shiku	Furuya Case 1417

INTERNATIONAL APPLICATION NO.
PCT/JP03/11080

23474  
 FLYNN THIEL BOUTELL & TANIS, P.C.  
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 KALAMAZOO, MI 49008-1631

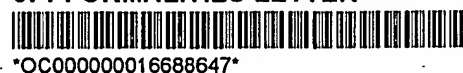
DHT/\_\_\_\_ DGB\_\_\_\_ RJT\_\_\_\_  
 POC\_\_\_\_ MLM\_\_\_\_ LLC\_\_\_\_  
 BRT\_\_\_\_ SRT\_\_\_\_ SBW\_\_\_\_

IA. FILING DATE	PRIORITY DATE
08/30/2002	

AUG 11 2005

DJW\_\_\_\_ KLP\_\_\_\_  
 GMS\_\_\_\_ CMC\_\_\_\_  
 FILE\_\_\_\_

CONFIRMATION NO. 5607  
 371 FORMALITIES LETTER



\*OC000000016688647\*

Date Mailed: 08/04/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/16/2005
- English Translation of the IA filed on 02/16/2005
- Copy of the International Search Report filed on 02/16/2005
- Preliminary Amendments filed on 02/16/2005
- U.S. Basic National Fees filed on 02/16/2005
- Priority Documents filed on 02/16/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

FILE NO:FURU 1417 ATTY:TFC  
 DUE DATE:10-04-2005 ( 20051004 )  
 /INIT:( 20050804 )  
 ACTION:10 RES PER:2 M DK1

FILE NO:FURU 1417 ATTY:TFC  
 DUE DATE:10-04-2005 ( 20051004 )  
 /INIT:( 20050804 )  
 ACTION:10 RES PER:2 M DK1

The time period set above may be extended by filing a petition with fee for extension of time under the provisions of 37 CFR 1.130(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,145	PCT/JP03/11080	Furuya Case 1417